

Form 1 Page 1 Execution Page	UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR, REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION FROM REGISTRATION PURSUANT TO SECTION 5 OF THE EXCHANGE ACT	Date filed (MM/DD/YY) 05/02/99	OFFICIAL USE ONLY
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INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATION

## □ APPLICATION

ISE Gemini, LLC

☒ AMENDMENT

1. State the name of the applicant: ISE Germany, LLC

2. Provide the applicant's primary street address (Do not use a P.O. Box): 60 Bond Street

26<sup>th</sup> Floor New York, NY 10004

**3. Provide the applicant's mailing address (if different):**

4. Provide the applicant's business telephone and facsimile number:

712 943-2400

212 - 509 - 39.55

(Telephone)

(Facsimile)

5. Provide the name, title, and telephone number of a contact employee:

Provide the name, the Ronan Cahill

Senior Legal and Regulatory Associate. 202-897-8152

(Name)

Title:

(Telephone Number)

6. Provide the name and address of counsel for the applicant:

Michael | Simon

60 Broad Street

New York, NY 10004

**7. Provide the date applicant's fiscal year ends:**

December 31, 2016

8. Indicate legal status of applicant: ☐ Corporation ☐ Sole Proprietorship ☐ Partnership  
☒ Limited Liability Company ☐ Other (specify): \_\_\_\_\_

If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed):

(a) Date (MM/DD/YY): 05/30/2012 (b) State/Country of formation: Delaware

(c) Statute under which applicant was organized: Delaware LLC Act & Del. Section 13-101

**EXECUTION:** The applicant consents that service of any civil action brought by , or notice of any proceeding before, the Securities and Exchange Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the applicant's contact employee at the main address, or mailing address if different, given in items 2 and 3. The undersigned, being first duly sworn, deposes and says that he/she has executed this form on behalf of , and with the authority of , said applicant. The undersigned and applicant represent that the information and statements contained herein, including exhibits, schedules, or other documents attached hereto, and other information filed herewith, all of which are made a part hereof are current, true, and complete. *ICE Green 111*

Date: 11/19/79

(MM/DD/YY)

Ronan (Name of applicant)

By: Heather V. W.

(Signature)

Subscribed and sworn before me this 13 day of November 2015 by Elonor Yalceer

(Month) (Year) (Notary Public)

My Commission expires 12/4/2018 County of New York State of New York

*This page must always be completed in full with original, manual signature and notary stamp or seal where applicable.*

**ELEONOR GNECCO**  
Notary Public - State of New York  
NO. 01GN8002263  
Qualified in New York County  
My Commission Expires Dec 4, 2018



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November 13, 2015

VIA FEDERAL EXPRESS

Chris Grobbel  
U.S. Securities and Exchange Commission  
Division of Market Regulation  
Office of Market Supervision  
100 F Street NE  
Mail Stop 6628  
Washington, DC 20549

Re: ISE Gemini, LLC  
SEC Rule 6a-2 and 6a-3 Materials

Dear Mr. Grobbel:

Pursuant to Rule 6a-2(a) of the Securities Exchange Act of 1934, this is an update of the ISE Gemini, LLC's ("ISE Gemini" or "Exchange") Form 1.

In addition, pursuant to Rule 6a-3(a), within the last 10 days, ISE Gemini issued or has made available certain material to its members, participants or subscribers. The material can be found at the following locations on ISE Gemini's website:

**Options**

*New Listings:*

<http://ise.com/newlistings>

*Delistings:*

<http://ise.com/delistings>

*Expiration Notices:*

<http://ise.com/expirationnotices>

*Series Additions/Deletions:*

<http://ise.com/serieslist>

*Market Information Circulars:*

<http://ise.com/mics>

**Index Options**

*Recent Index Changes:*

<http://ise.com/newlistings>

*Index Settlement Values:*

<http://www.ise.com/options/products-traded/index-settlement-values>

**Legal & Regulatory**

*Regulatory Information Circulars:*

<http://www.ise.com/rics>

*Rules:*

<http://www.ise.com/rules>

**Marketing Material**

*Press Releases:*

<http://www.ise.com/press-room/press-releases/>

*Publications:*

<http://www.ise.com/publications>

**Corporate**

*Board Members:*

<http://www.ise.com/about-ise/board-of-directors/>

*Constitution:*

<http://www.ise.com/media/92473/Constitution-of-Topaz-Exchange-31-Jul-13-name-change-to-ISE-Gemini-.pdf>

*LLC Agreement:*

<http://www.ise.com/media/103279/Executed-Second-Amended-and-Restated-LLC-Agreement-18-Dec-2014-.pdf>

*Exchange Officers:*

<http://www.ise.com/about-ise/management-team/>

**Members**

*List of ISE Members:*

<http://www.ise.com/options/membership/exchange-members/>

**Transactional Volume**

*Volume Report*

<http://www.ise.com/options/exchange-data/>

With respect to the information contained in Exhibit C as it relates to subsidiaries and affiliates as required by Rule 6a-2(d)(1), the Exchange hereby certifies that the information is kept up to date and is available to the Commission and the public upon request. If you have any questions on these materials, please do not hesitate to contact me at (212) 897-8152.

Sincerely,



Ronan Cahill  
Senior Legal & Regulatory Associate

ORIGIN ID: SXVA (212) 897-8152  
 RONAN CAHILL  
 60 BROAD STREET  
 NEW YORK, NY 10004  
 UNITED STATES US

SHIP DATE: 13NOV15  
 ACTWGT: 1.00 LB  
 CAD: 101218647/MET3670

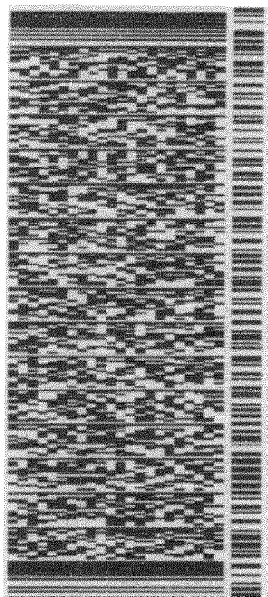
BILL SENDER

TO CHRIS GROBBEL

US SECURITIES & EXCHANGE COMMISSION  
 OFFICE OF MARKET SUPERVISION  
 100 F STREET NE, MAIL STOP 6628

WASHINGTON DC 20549  
 REF  
 (202) 551-5658  
 PO

DEPT



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